

1R 3629 #



In re Application of:

BRIAN C. TARBOX, ET AL.

Application No.: 10/073,632

Filed: February 11, 2002

For: SYSTEMS AND METHODS FOR
IMPROVING INVESTMENT PERFORMANCE

Docket No. 333307.00002

Examiner: Ella Colbert

Group Art Unit: 3624

Date: July 1, 2005

THE COMMISSIONER FOR PATENTS
Washington, D.C. 20231

Sir:

Transmitted herewith is a Response To Restriction Requirement and
Amendment in the above-identified application.

☒ An additional fee is required.

The fee has been calculated as shown below

CLAIMS AS AMENDED						
	(2) CLAIMS REMAINING AFTER AMENDMENT		(4) HIGHEST NO. PREVIOUSLY PAID FOR	(5) PRESENT EXTRA	RATE	ADDITIONAL FEE
TOTAL CLAIMS	* 22	MINUS	** 21	= 1	x \$25 \$50	\$ 25.00
INDEP. CLAIMS	* 2	MINUS	*** 3	= 0	x \$100 \$200	\$000.00
Fee for Multiple Dependent claims \$180°/\$360						
TOTAL ADDITIONAL FEE FOR THIS AMENDMENT-						\$ 25.00


☐ °Verified Statement claiming small entity status is enclosed, if not
filed previously.

☐ A check in the amount of \$_____ is enclosed.

☒ Charge \$25.00 to Deposit Account No. 50-1710 for the additional
claims fee. A duplicate copy of this sheet is attached.

- ☐ Any prior general authorization to charge an issue fee under 37 C.F.R. 1.18 to Deposit Account No. 50-1710 is hereby revoked. The Commissioner is hereby authorized to charge any additional fees under 37 C.F.R. 1.16 and 1.17 which may be required during the entire pendency of this application, or to credit any overpayment, to Deposit Account No. 50-1710. A duplicate copy of this paper is enclosed.
- ☐ Charge ___ to Deposit Account No. 50-1710 to cover the fee for a ___ month extension of time fee. A duplicate copy of this paper is attached.
- ☐ Charge \$_ to Deposit Account No. 50-1710 to cover the Information Disclosure Statement fee. A duplicate copy of this paper is attached.
- ☒ Applicants' undersigned attorney may be reached in our Washington, D.C. office by telephone at (202) 625-3507. All correspondence should continue to be directed to our address given below.

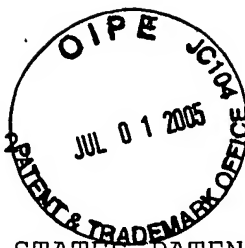
Respectfully submitted,



Attorneys for Applicants
Richard P. Bauer
Registration No. 31,588

PATENT ADMINISTRATOR
KATTEN MUCHIN ROSENMAN LLP
525 West Monroe Street
Suite 1600
Chicago, Illinois 60661-3693
Facsimile: (312) 902-1061

Atty Dkt 333307.00002



PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:)
BRIAN C. TARBOX ET AL.) Examiner: Ella Colbert
Application No.: 10/073,632) Group Art Unit: 3624
Filed: February 11, 2002) Confirmation No.: 6664
For: SYSTEMS AND METHODS FOR)
IMPROVING INVESTMENT)
PERFORMANCE) July 1, 2005

Mail Stop No Fee Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

RESPONSE TO RESTRICTION REQUIREMENT
AND AMENDMENT

Sir:

In response to the Restriction Requirement of
June 13, 2005, Applicants provisionally elect Group I, Claims
63-81, without traverse.

Prior to continued substantive examination,
please add new system claims 85-87, as follows:

I. Amendments to the Claims begin on page 2 of this paper.

07/06/2005 HALI11 00000050 501710 10073632

01 FC:2202 25.00 DA

II. Remarks begin on page 10 of this paper.